

FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of the Insurer: Universal Sompo General Insurance Company Limited

Registration Number: 134

Statement as on: 31st December 2021

Name of the Fund: UNIVERSAL SOMPO

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Amount in Rs. Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³				
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	
1	CENTRAL GOVERNMENT SECURITIES	CGSB	1,10,542.79	1,939.60	6.96	5.18	1,00,571.15	4,903.10	6.47	4.81	78,398.29	4,040.01	6.84	5.12	
		CTRB	-	-	-	-	-	0.00	-	-	-	-	-	-	
2	STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARANTEED SEC.	CDSS	-	-	-	-	-	0.00	-	-	-	-	-	-	
		SGOA	1,362.76	20.35	5.92	4.41	1,366.44	61.09	5.93	4.41	481.46	11.66	5.46	4.08	
		SGGB	44,262.67	822.35	7.37	5.48	46,669.53	2,923.74	8.32	6.18	46,013.64	2,491.35	7.19	5.38	
3	BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB	HTDN	32,620.85	489.36	5.95	4.43	32,319.42	1,469.27	6.03	4.49	25,396.42	1,453.49	7.60	5.68	
		Bonds / Debentures issued by HUDCO	HTHD	12,274.70	205.19	6.63	4.93	10,513.03	530.82	6.70	4.98	10,827.42	563.42	6.91	5.17
4	INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS	Infrastructure - PSU - Equity shares - Quoted	ITPE	89.71	15.88	70.25	52.25	89.96	24.21	35.72	26.57	52.48	9.32	23.58	17.64
		Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	-	-	-	-	-	0.00	-	-	-	-	-	-
		Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds	IPTD	84,536.46	1,838.76	8.63	6.42	81,230.03	4,709.61	7.70	5.72	81,287.27	4,801.62	7.84	5.87
		Infrastructure - Infrastructure Development Fund (IDF)	IDDF	5,111.08	98.40	7.64	5.68	5,529.02	327.02	7.85	5.84	7,805.86	484.65	8.24	6.17
		Taxable - Infrastructure / Social Sector - Other Corporate Securities (Approved Investments) - Debentures/Bonds	ICTD	-	-	-	-	474.96	1.44	9.25	6.88	1,684.46	102.45	8.07	6.04
		Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	0.00	-	-	-	-	-	-
		Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	0.00	-	-	-	-	-	-
		Infrastructure - PSU - Debentures / Bonds - Tax Free Bond	IPFD	1,043.21	15.18	5.77	4.29	1,046.14	71.57	9.08	6.75	1,897.15	199.06	13.93	13.93
		APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS		-	-	-	-	-	0.00	-	-	-	-	-	-
		PSU (Approved Investment) - Equity Shares - Quoted	EAEQ	351.11	31.06	35.09	26.10	463.87	53.27	15.24	11.34	1,088.88	(14.85)	(1.81)	(1.81)
		Corporate Securities - Equity Shares (Ordinary) - Quoted	EACE	3,651.52	197.42	21.45	15.95	2,926.49	450.38	20.43	15.19	1,649.96	32.81	2.64	1.98
		Corporate Securities - Bond Taxable	EPBT	1,000.00	14.12	5.60	4.16	1,966.96	136.97	9.24	6.87	1,466.29	69.94	6.33	4.74
Corporate Securities - (Approved Investment) - Debentures	ECOS	50,229.07	1,022.36	8.08	6.01	51,348.87	2,970.37	7.68	5.71	43,078.66	2,237.28	6.89	5.16		
Commercial Papers - Approved Investment	ECCP	-	-	-	-	-	0.00	-	-	-	-	-	-		
Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	0.00	-	-	-	-	-	-		
Deposits - Deposit with Scheduled Banks	ECDB	11,679.74	156.22	5.31	3.95	11,186.39	451.39	5.36	3.98	8,105.32	336.47	5.51	4.12		
Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	1,261.07	25.05	7.88	5.86	2,408.40	144.21	7.95	5.91	3,007.28	190.62	8.41	6.30		
Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	1,954.05	18.49	5.31	3.95	3,014.43	78.04	4.72	3.51	3,618.03	117.51	4.51	3.37		
Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	1,002.04	22.30	8.83	6.57	1,002.05	66.66	8.83	6.57	1,002.08	66.63	8.83	6.60		
6 OTHER INVESTMENTS		-	-	-	-	-	0.00	-	-	-	-	-	-		
Other Investments - Equity Shares (incl. Co - op Societies)	OESH	-	-	-	-	-	0.00	-	-	-	-	-	-		
Mutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)	OMGS	-	-	-	-	-	0.00	-	-	-	-	-	-		
Debentures	OLDB	132.61	-	-	-	177.45	0.00	-	-	1,126.25	(1,435.31)	(169.15)	(169.15)		
Bonds - PSU - Taxable	OBPT	-	-	-	-	-	0.00	-	-	-	-	-	-		
Infrastructure - Debentures / Bonds / CPs / Loans	IODS	-	-	-	-	-	0.00	-	-	-	-	-	-		
Equity Shares (PSUs & Unlisted)	OEPU	156.18	48.63	123.54	91.88	178.42	108.91	81.02	60.26	193.70	-	-	-		
Passively Managed Equity ETF Non Promoter Group)	OETF	211.77	-	-	-	171.56	10.09	7.81	5.81	191.74	23.84	16.50	12.35		
Commercial Papers	OACP	-	-	-	-	-	0.00	-	-	-	-	-	-		
Alternative Investment Fund	OAFB	500.00	-	-	-	458.55	25.72	7.45	5.54	-	-	-	-		
TOTAL		3,63,399.95	6,980.73	7.62	5.67	3,53,836.74	19,517.90	7.32	5.44	3,17,888.03	15,781.96	6.59	4.93		

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

¹ Based on daily simple Average of Investments

² Yield netted for Tax

³ In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

⁴ FORM shall be prepared in respect of each fund.

⁵ YTD Income on investment shall be reconciled with figures in P&L and Revenue account

⁶ Investment Regulations, as amended from time to time, to be referred